

JUN 15 2011
OFFICE OF PETITIONS

<u>USPS PRIORITY MAIL – DELIVERY CONFIRMATION #420223139405503699300129908743</u>

June 13, 2011

Ms. Liana Walsh
Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RE Patent #6,454,282 - Petition to Accept Unintentionally Delayed Payment of Maintenance Fee In An Expired Patent (37 CFR 1.378(c))

Dear Ms Walsh:

I received a letter from the patent office dated May 4, 2011 dismissing my request to have the patent reinstated due to it being "Unavoidable". A copy of that four page letter is attached.

As stated on page three of the letter, I am filing the alternate remedy, which is "Unintentional" under 37 CFR 1.378(c). I have already paid the \$1,240.00 maintenance fee and I am including a check for \$940.00 to cover the additional fee required under 37 CFR 1.378(c) as stated on page three of your letter. Also on page three of your letter, you cautioned me that any future petition must be signed by one of five parties. I am signing the petition for Table Toter, Inc., as its president. The three inventors made a complete and entire assignment to Table Toter, Inc. and that assignment is on file there at the patent office.

I respectfully ask that you reinstate patent #6,454,282 under 37 CFR 1.378(c). Thank you for your cooperation in this matter.

Sincerely,

TABLE TOTER, INC.

Kenny Sexton, President

49 Martin Street • Elkins, WV 26241-3490

Office: (888) 201-8744 • Fax: (304) 636-7798 • Website: www.tabletoter.com

Email: ksexton@tabletoter.com or bevsexton@tabletoter.com

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays valid OMB control number.

PETITION TO	ACCEPT UNIN		Y DELAYED ATENT (37 CF	PAYMENT OF MAINTENANCE FEE IN AN RECEIVED				
Patent Number	Issue Date (YYYY-MM-DD)	Application Number	Filing Date (YYYY-MM-DD	Docket Number (if applicable) JUN 15 7011				
6,454,282	2002-09-24	09/755,800	2001-01-05	OFFICE OF PETITIONS				
CAUTION: Maintenance fee (and surcharge, if any) payment must correctly identify: (1) the patent number and (2) the application number of the actual U.S. application leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d).								
SMALL ENTITY Patentee claims, or has previously claimed, small entity status. See 37 CFR 1.27.								
LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g)								
NOT Small Entity			Small Entity					
Fee 3 ½ year	Code (1551)		Fee 3 ½ year	Code (2551)				
O 7 ½ year	(1552)			(2552)				
11 ½ year	(1553)		○ 11 ½ yea	r (2553)				
SURCHARGE. The surcharge required by 37 CFR 1.20(i)(2) (Fee Code 1558) must be paid as a condition of accepting unintentionally delayed payment of the maintenance fee.								
MAINTENANCE FEE (37 CFR 1.20(e)-(g)) The appropriate maintenance fee must be submitted with this petition.								
STATEMENT THE UNDERSIGNED CERTIFIES THAT THE DELAY IN PAYMENT OF THE MAINTENANCE FEE TO THIS PATENT WAS UNINTENTIONAL								
PETITIONER(S) REQUEST THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED								
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES								
37 CFR 1.378(d) states: "Any petition under this section must be signed by an attorney or agent registered to practice before the Patent and Trademark Office, or by the patentee, the assignee, or other party in interest."								
I certify, in accordance with 37 CFR 1.4(d)(4) that I am								
An attorney or agent registered to practice before the Patent and Trademark Office								
A sole patentee								
A joint patentee; I certify that I am authorized to sign this submission on behalf of all the other patentees.								
A joint patentee; all of whom are signing this e-petition								
The assigned	e of record of the entire	interest		95/20/2011 CKHLUK 00000028 6454282				
• sadigina				01 FC:1599 940.00 OF				

	The Assig	gnee of record of the entire ir	nterest		
Signature re	FR 3.71 an assignee becomes of record by fi equirements are set forth in 37 CFR 1.4(d), are the entire interest			n behalf of the	
Signature	Lenny to		Date (YYYY-MM-DD)	2011-06-13	
Name	Table Toter, Inc., by Kenny Sexton, President	dent			
Enter Reel a	and Frame Number	Remove	Remove		
Reel Number		Frame Number			
Click ADD for	additional Reel Number and Frame Number	* Add , ,			
is to file (and	on of information is required by 37 CFR 1.378 by the USPTO to process) an application. C estimated to take 1 hour to complete, including	Confidentiality is governed by	35 U.S.C. 122 and 37 CFR 1.11 a	nd 1.14. This	

This collection of information is required by 37 CFR 1.378(c). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. This form can only be used when in conjunction with EFS-Web. If this form is mailed to the USPTO, it may cause delays in reinstating the patent.

therein for petitioner's showing of the steps taken to pay the fee. Ray, 55 F.3d at 609, 34 USPQ2d at 1788. In the absence of a showing of the steps taken by or on behalf of petitioner, 37 CFR 1.378(b)(3) precludes acceptance of the maintenance fee.

Furthermore, the Letters Patent contains a Maintenance Fee Notice that warns that the patent may be subject to maintenance fees if the application was filed on or after December 12, 1980. Accordingly, a reasonably prudent patentee would have inquired to see if his/her patent was subject to maintenance fees.

Petitioner will not receive future correspondence related to maintenance fees for the above identified patent unless a "fee address" (see PTO/SB/47) is submitted for the above-identified patent. A courtesy copy of this decision is being mailed to the address noted on the petition. However, until otherwise instructed, all future correspondence regarding this application will be mailed solely to the address of record.

As such, the showing of record is inadequate to establish unavoidable delay within the meaning of 37 CFR 1.378(b)(3).

Petitioner should also note that since the maintenance fee was proffered within 24 months of the date of expiration date of the patent, he has an alternate remedy under the unintentional provisions of 37 CFR 1.378(c). The \$700 already submitted would be applied to the \$1,640 fee owed under 37 CFR 1.378(c), leaving a balance due of \$940.

Petitioner is also cautioned that any future petition must be signed by:

(1) An attorney or agent of record appointed in compliance with § 1.34(b);

(2) A registered attorney or agent not of record who acts in a representative capacity under the provisions of § 1.34(a);

(3) The assignee of record of the entire interest, if there is an assignee of record of the entire interest;

(4) An assignee of record of an undivided part interest, and any assignee(s) of the remaining interest and any applicant retaining an interest, if there is an assignee of record of an undividing part interest; or

(5) All of the applicants (§§ 1.42. 1.43 and 1.47) for patent, unless there is an assignee of record of the entire interest and such assignee has taken action in the application in accordance with §§ 3.71 and 3.73.

The instant petition was only signed by Kenny A. Sexton, one of the three listed applicants. Any future petition should either be signed by all of the applicants, or accompanied by a Statement under 37 CFR 3.73(b), which is required whenever an assignee wishes to take action.

Future correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop PETITIONS Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450 By hand:

Customer Service Window Mail Stop Petitions Randolph Building 401 Dulany Street Alexandria, VA 22314

By fax:

(571) 273-8300 ATTN: Office of Petitions

Any questions concerning this matter may be directed to Petitions Examiner Liana Walsh at (571) 272-3206.

/dab/ David Bucci **Petitions Examiner** Office of Petitions



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In re Patent No. 6,454,282

TABLE TOTER, INC. 49 MARTIN STREET ELKINS WV 26241-3490

Issue Date: September 24, 2002

Application No. 09/755,800 Filed: January 5, 2011

Attorney Docket No. 8127-3

Patentee(s): Sexton et al.

DECISION ON PETITION UNDER 37 CFR 1.378(b)

This is a decision on the petition under 37 CFR 1.378(b), filed February 3, 2011, to accept an unavoidably delayed payment of a maintenance fee for the above-identified patent.

The petition is **DISMISSED**.

If reconsideration of this decision is desired, a petition for reconsideration under 37 CFR 1.378(e) must be filed within TWO (2) MONTHS from the mail date of this decision. No extension of this 2-month time limit can be granted under 37 CFR 1.136(a) or (b). Any such petition for reconsideration must be accompanied by the petition fee of \$400 as set forth in 37 CFR 1.17(f). The petition for reconsideration should include an exhaustive attempt to provide the lacking item(s) noted below, since, after a decision on the petition for reconsideration, no further reconsideration or review of the matter will be undertaken by the Director.

The patent issued September 24, 2002. The 7.5-year maintenance fee could have been paid from September 24, 2009 through March 24, 2010, or with a surcharge during the period from March 25, 2010 through September 24, 2010. Accordingly, the patent expired at midnight September 24, 2010, for failure to timely submit the 7.5-year maintenance fee.

A petition to accept the delayed payment of a maintenance fee under 35 U.S.C. § 41(c) and 37 CFR 1.378(b) must be accompanied by (1) an adequate showing that the delay was unavoidable, since reasonable care was taken to ensure that the maintenance fee would be paid timely and that the petition was filed promptly after the patentee was notified of, or otherwise became aware of, the expiration of the patent, (2) payment of the appropriate maintenance fee, unless previously submitted, and (3) payment of the surcharge set forth in 37 CFR 1.20(i)(1).

This petition lacks item (1) above.

Petitioner states that the delay in payment of the 7.5-year maintenance fee was unavoidable due to the correspondence address not being timely and properly updated. On July 3, 2008, a Change of Correspondence Address was received. Although it was originally deemed unreadable and not processed, on March 9, 2011, the correspondence address was finally processed and the address was updated in the USPTO system.

The showing of record is inadequate to establish unavoidable delay within the meaning of 37 CFR 1.378(b)(3).

37 CFR 1.378(b)(3) states that any petition to accept delayed payment of a maintenance fee must include:

"A showing that the delay was unavoidable since reasonable care was taken to ensure that the maintenance fee would be paid timely and that the petition was filed promptly after the patentee was notified of, or otherwise became aware of, the expiration of the patent. The showing must enumerate the steps taken to ensure timely payment of the maintenance fee, the date, and the manner in which patentee became aware of the expiration of the patent, and the steps taken to file the petition promptly."

As 35 USC § 41(b) requires the payment of fees at specified intervals to maintain a patent in force, rather than some response to a specific action by the Office under 35 USC § 133, a reasonably prudent person in the exercise of due care and diligence would have taken steps to ensure the timely payment of such maintenance fees. Ray v. Lehman, 55 F.3d 606, 609, 34 USPQ2d 1786, 1788 (Fed. Cir. 1995) (quoting In re Patent No. 4,409,763, 7 USPQ2d 1798, 1800 (Comm'r Pat. 1988), aff'd dub nom. That is, an adequate showing that the delay in payment of the maintenance fee at issue was "unavoidable" within the meaning of 35 U.S.C. § 41(c) and 37 CFR 1.378(b)(3) requires a showing of the steps taken by the responsible party to ensure the timely payment of the maintenance fee for this patent. Id. As the patent holder at the time of expiration, it was incumbent on petitioner to have itself docketed this patent for payment of the maintenance fee in a reliable system as would be employed by a prudent and careful person with respect to his most important business, or to have engaged another for that purpose. See California Medical Products v. Technol Med. Prod., 921 F. Supp. 1219, 1259 (D.Del. 1995). Even where another has been relied upon to pay the maintenance fees, such asserted reliance per se does not provide a petitioner with a showing of unavoidable delay within the meaning of 37 CFR § 1.378(b) and 35 USC § 41(c). Id. Rather, such reliance merely shifts the focus of the inquiry from the petitioner to whether the obligated party acted reasonably and prudently. Id. Nevertheless, a petitioner is bound by any errors that may have been committed by the obligated party. Id.

In view of the requirement to enumerate the steps taken to ensure timely payment of the maintenance fee, the patentee's lack of knowledge of the need to pay the maintenance fee and the failure to receive the Maintenance Fee Reminder do not constitute unavoidable delay. See Patent No. 4,409,763, supra. See also Final Rule entitled "Final Rules for Patent Maintenance Fees." published in Federal Register at 49 Fed. Reg. 34716, 34722-23 (August 31, 1984), and republished in the Official Gazette at Off. Gaz Pat. Office 28, 34 (September 25, 1984). Under the statutes and rules, the Office has no duty to notify patentees of the requirement to pay maintenance fees or to notify patentees when the maintenance fees are due. It is solely the responsibility of the patentee to assure that the maintenance fee is timely paid to prevent expiration of the patent. The lack of knowledge of the requirement to pay a maintenance fee and the failure to receive the Maintenance Fee Reminder will not shift the burden of monitoring the time for paying maintenance fee from patentee to the Office. See MPEP 2590.

However, the record fails to show that adequate steps within the meaning of 37 CFR 1.378(b)(3) were taken by or on behalf of petitioner to schedule or pay the maintenance fee. Petitioner is reminded that 37 CFR 1.378(b)(3) is a validly promulgated regulation, as is the requirement